



List of Service Fees & Charges - Hong Kong market 收費詳情 - 香港股市			
Item 項目	Service Fees & Charges 收費		Remarks 備註
Securities Brokerage 股票買賣			
Trading fee (The HKEx) 交易費 (港交所)	0.00565% (2023 年 1 月 1 日生效)		Based on the Transaction Amount 按交易金額計算
Transaction levy (The SFC) 交易徵費 (證監會)	0.00285% (包括財匯局徵費)		Based on the Transaction Amount 按交易金額計算
Investor compensation levy (The SFC) 投資者賠償徵費 (證監會)	Suspended 暫停收取		Not Applicable 不適用
Stamp duty (The Government) 印花稅 (政府)	0.13%		Based on the Transaction Amount (Rounded up to the nearest dollar) 按交易金額計算 (以最接近的整數計算)
CCASS Fee (0.002% is collected by HK Clearing) 中央結算費 (0.002% 代香港結算收取)	0.01%		Based on the Transaction Amount (Min. HKD 3, Max. HKD 300) 按交易金額計算 (最低港幣 3 元, 最高港幣 300 元)
Brokerage Commission 交易佣金	Offline Trading 電話落盤	Online Trading 網上落盤	Based on the Transaction Amount (per each order) 按交易金額計算 (以每個定單計算) *Negotiable 可另議*
	0.25%, Min. HKD 75 0.25%, 最低港幣 75 元	0.15%, Min. HKD 50 0.15%, 最低港幣 50 元	
Italian Financial Transaction Tax 意大利金融交易稅	Final Net Balance * Weighted Average Purchase Price on Relevant Day * Tax Rate (0.1% as on 26 September 2016) 當日淨買入股數 x 當日平均買入價 x 稅率 (2016 年 9 月 26 日為 0.1%)		For PRADA S.P.A. (01913) 普拉達 (01913) 適用
Bond Brokerage 債券買賣			
Brokerage Commission 交易佣金	0.3%, Min HK 100 0.3%, 最低港幣 100 元		Based on the Transaction Amount (per each order) 按交易金額計算 (以每個定單計算)
Bond Custody Fee 債券託管費	Listing on HKEX: Free 香港交易所上市:免費 Non-HKEX Listed Monthly HKD 100 非香港交易所上市每月港幣 100 元		Based on the Monthly 按月計算
Collection of Bond Interest Fee 代收債券利息手續費	Listing on HKEX: Free 香港交易所上市:免費 Non-HKEX Listed: 0.5% of Interest Amount, Min HKD 50, Max: HKD 1,000 非香港交易所上市:利息金額之 0.5%,最低港幣 50 元,最高港幣 1,000 元		Based on the Bond Dividend Amount 按債息金額計算
Transaction levy 交易徵費	成交金額 0.00285% (包含 0.0027% 證監交易徵費 及 0.00015% 財匯局徵費; 相關徵費分別計算至最 接近的仙位數;自 2022 年 1 月 1 日生效)		
Securities Receipt and Delivery 股票提存			
Physical Scrip Withdrawal 實貨提取	HKD 5 per each Board Lot, plus handling fee HKD 100 每手港幣 5 元, 另加手續費港幣 100 元		Round up to the nearest Board Lot 不足 1 手作 1 手計算
Physical Scrip Deposit (The Government) 實貨存入 (政府)	Transfer Deed Stamp Duty HKD 5 轉手厘印費港幣 5 元		For each Transfer Deed 按每張轉手紙計算
Settlement Instruction Withdrawal 交收指示 (SI) 提取	0.01%, Min. HKD 50, Max. HKD 500 0.01%, 最低港幣 50 元, 最高港幣 500 元		Based on the last closing price 按前一日收市價計算
Settlement Instruction Deposit 交收指示 (SI) 存入	Waived 豁免收費		
Investor Settlement Instruction Withdrawal 投資者戶口交收指示 (ISI) 提取	0.01%, Min. HKD 50, Max. HKD 500 0.01%, 最低港幣 50 元, 最高港幣 500 元		Based on the Last Closing Price 按前一日收市價計算
Investor Settlement Instruction Deposit 投資者戶口交收指示 (ISI) 存入	Waived 豁免收費		Not Applicable 不適用
Custody Fee 存倉費	Waived 豁免收費		Not Applicable 不適用
Bought Sold Note 客戶自行從價稅交收指示	HKD 500 for each Instruction 每項指示港幣 500 元		Application for Buy / Deposit or Sell / Withdrawal 適用於買入 / 存入或沽出 / 提取
Collection of Dividend / Nominee Service / Corporate Actions 代收股息 / 代理人服務 / 企業行動			
CCASS Fee (HK Clearing) 中央結算費 (香港結算)	0.12%		Based on the Dividend Amount 按股息金額計算
Transfer Fee (CCASS) 過戶費 (中央結算)	HKD 1.5 per each Board Lot 每手港幣 1.5 元		Round up to the nearest Board Lot 不足 1 手作 1 手計算
Collection of Cash/ Scrip Dividend / Bonus Issue 代收現金股息 / 以股代息 / 紅股	0.25%, Min. HKD 30 0.25%, 最低港幣 30 元		Based on the Dividend Amount 按股息金額計算



Corporate Action Charge (Exercise Rights / Excess Rights / Warrant Conversion) 代履行權責服務費 (供股 / 額外供股 / 行使認股證)	HKD 1.5 per each Board Lot OR HKD 50 per each Action 每手港幣 1.5 元及 每項港幣 50 元	Round up to the nearest Board Lot 不足 1 手作 1 手計算
Claim Dividend / Bonus Share 代追收股息 / 紅股	0.12% and HKD 200 collected by HK Clearing, Plus other Nominee Fees (if any) And HKD 500 Handling Fee charge by Dingxin 香港結算收取 0.12% 及港幣 200 元手續費 另加其他有關代理人服務費 (如有) 及鼎鑫手續費港幣 500 元	Based on the Dividend Amount (No Matter success or NOT) 按股息金額計算 (不論成功與否)
Cash offers and Privatization 收購或私有化 i. Corporate Action Charge 代履行權責服務費 ii. Stamp duty 印花稅 iii. Handling Fee charged by Dingxin 鼎鑫手續費	i. HKD 0.8 per each Board Lot 每手港幣 0.8 元 ii. 0.1% (如有) (if any) iii. 0.25%, Min. HKD 100 0.25%, 最低港幣 100 元	i. Round up to the nearest Board Lot 不足 1 手作 1 手計算 ii. Based on the Transaction Amount (Rounded up to the nearest dollar) 按交易金額計算 (以最接近的整數計算) iii. Based on the Transaction Amount 按成交金額計算
Attend / Appoint a third-party to Attend the General Meeting (Max 1 person) / Proxy Voting 出席 / 授權第三方出席股東大會 (最多 1 位) / 委托公司投票	HKD 100 per Application 每項申請港幣 100 元	Not Applicable 不適用
eIPO Subscription 電子認購新股		
Handling Fee charged by Dingxin 鼎鑫手續費	HKD 30 per each Cash Subscription 現金認購每項港幣 30 元 HKD 80 per each Margin Financing Subscription 孖展融資認購每項港幣 80 元	Include the handling charges levied by HKSCC (No Matter success or NOT) 已包括中央結算首次公開招股手續費 (不論成功與否)
Amendment / Cancellation Handling Fee 更改 / 取消手續費	HKD 100 per Application 每項申請港幣 100 元	No Matter success or NOT 不論成功與否
Securities Placement 公司配售股份		
Brokerage Commission 交易佣金	1%	Not Applicable 不適用
Transaction levy (The SFC) 交易徵費 (證監會)	0.0027%	Based on the Transaction Amount 按交易金額計算
Transaction levy (The SFC) 交易徵費 (證監會)	0.0027%	Based on the Transaction Amount 按交易金額計算
Financing and other services 融資借貸及其他服務		
Interest on Overdue Balances (Cash Account) 戶口結餘為負數之利息 (現金戶口)	13% p.a. 年息 13%	(個別另議)
Interest on Overdue Balances (Margin Account) 戶口結餘為負數之利息 (孖展戶口)	12% p.a. 年息 12%	(個別另議)
IPO Margin Financing Subscription 孖展融資認購新股之利息	Based on the Market Interest Rate OR Dingxin's Cost of Fund 按市場利率或鼎鑫成本而定	The Highest Rate Prevails 以擇較高者利率
Statement post by Mail 郵寄結單	HKD 30 / Month 30 港元 / 月	Waived for Qualified Persons 合資格人仕可獲豁免
Re-Issue of copies of Monthly Statement 補回月結單副本	<ul style="list-style-type: none"> ● Waived for the one of Current and Last Month 本月的及上月的可獲豁免 ● HKD 50 for each Statement of Current Year 本年度的, 每份港幣 50 元 ● HKD 100 for each Statement of Last Year 超過一年的, 每份港幣 100 元 ● HKD 200 for each Statement of Last 2 Years 超過兩年的, 每份港幣 200 元 	Not Applicable 不適用
Countermand Payment of Cheque 支票停止支付	HKD 100 Handling Fee per each Cheque 每張支票手續費港幣 100 元	Plus Bank Charges (if any) 另加銀行費用 (如有)
Re-Issue of Stale Cheque 過期票重發	HKD 100 Handling Fee per each Cheque 每張支票手續費港幣 100 元	Plus Bank Charges (if any) 另加銀行費用 (如有)
Returned Cheque 退票	HKD 100 Handling Fee per each Cheque, Plus Interest 每張支票手續費港幣 100 元, 另加利息	Plus Bank Charges (if any) 另加銀行費用 (如有)
Company Search Fee (Account Opening) 查冊費 (開戶)	HKD 300 港幣 300 元	For Local Registered Company 本地註冊成立公司適用
Annual Audit Fee (Company) 年度核數費用 (公司)	HKD 300 港幣 300 元	Not Applicable 不適用
Chats / TT Deposit / Withdrawal Handling Fee 匯款 / 電匯匯入 / 匯出手續費	HKD 300 港幣 300 元	Plus Bank Charges (if any) 另加銀行費用 (如有)

Remark: All the above fees and charges are subject to revision without notice.
備註: 各項上述收費可隨時修改而無需預先通知